

# Middlesex University Research Repository

An open access repository of

Middlesex University research

<http://eprints.mdx.ac.uk>

Liu, Liang Q. and Ferguson-Pell, Martin W. (2019) Blood perfusion changes during sacral nerve root stimulation versus surface gluteus electrical stimulation on in seated spinal cord injury. *Assistive Technology: the Official Journal of RESNA*, 31 (1). pp. 1-8. ISSN 1040-0435

Final accepted version (with author's formatting)

This version is available at: <http://eprints.mdx.ac.uk/21883/>

## Copyright:

Middlesex University Research Repository makes the University's research available electronically.

Copyright and moral rights to this work are retained by the author and/or other copyright owners unless otherwise stated. The work is supplied on the understanding that any use for commercial gain is strictly forbidden. A copy may be downloaded for personal, non-commercial, research or study without prior permission and without charge.

Works, including theses and research projects, may not be reproduced in any format or medium, or extensive quotations taken from them, or their content changed in any way, without first obtaining permission in writing from the copyright holder(s). They may not be sold or exploited commercially in any format or medium without the prior written permission of the copyright holder(s).

Full bibliographic details must be given when referring to, or quoting from full items including the author's name, the title of the work, publication details where relevant (place, publisher, date), pagination, and for theses or dissertations the awarding institution, the degree type awarded, and the date of the award.

If you believe that any material held in the repository infringes copyright law, please contact the Repository Team at Middlesex University via the following email address:

[eprints@mdx.ac.uk](mailto:eprints@mdx.ac.uk)

The item will be removed from the repository while any claim is being investigated.

See also repository copyright: re-use policy: <http://eprints.mdx.ac.uk/policies.html#copy>

1 **Blood Perfusion Changes during Sacral Nerve Root Stimulation versus Surface Gluteus**  
2 **Electrical Stimulation in Seated Spinal Cord Injury**

3

4 Liang Qin Liu, PhD<sup>a</sup> and Martin Ferguson-Pell, PhD<sup>b</sup>

5 <sup>a</sup> Department of Adult, Child and Midwifery, School of Health and Education, Middlesex  
6 University, London, UK; <sup>b</sup> Faculty of Rehabilitation, University of Alberta, Edmonton,  
7 Alberta, Canada

8

9 **Abstract**

10 **Objective:** To examine dynamic changes of ischial blood perfusion during sacral nerve root  
11 stimulation against surface functional electrical stimulation (FES). **Methods:** Fourteen adults  
12 with suprasacral complete spinal cord injury were recruited. The gluteal maximus was  
13 activated by surface FES or stimulating sacral nerve roots by functional magnetic stimulation  
14 (FMS) or a sacral anterior root stimulator implant (SARS). Ischial skin index of haemoglobin  
15 (IHB) and oxygenation (IOX) was measured. **Results:** Skin blood perfusion was significantly  
16 higher during FMS than the baseline (IHB  $1.05 \pm 0.21$  before vs.  $1.08 \pm 0.02$  during stimulation,  
17  $P=0.03$ ; IOX  $0.18 \pm 0.21$  before vs.  $0.46 \pm 0.30$ ,  $P=0.01$  during stimulation,  $n=6$ ). Similarly,  
18 when using the SARS implant, we also observed that blood perfusion significantly increased  
19 (IHB  $1.01 \pm 0.02$  before vs.  $1.07 \pm 0.02$  during stimulation,  $P=0.003$ ; IOX  $0.79 \pm 0.81$  before vs.  
20  $2.2 \pm 1.21$  during stimulation,  $P=0.03$ ,  $n=6$ ). However, there was no significant change of  
21 blood perfusion during surface FES. Among 4 participants who completed both the FMS and  
22 FES studies, the magnitude of increase in both parameters was significantly higher during  
23 FMS. **Conclusion:** This study demonstrates that using SARS implant is more efficient to  
24 activate gluteal muscles and confer better benefit on blood perfusion than applying traditional  
25 FES in SCI population.

26 **Key words:** electrical stimulation, pressure ulcer, sacral nerve roots, spinal cord injury,  
27 gluteal muscles, ischial tuberosity, blood perfusion.

28 **INTRODUCTION:**

29 Pressure ulcer is one of the most devastating conditions for people with Spinal Cord Injury  
30 (SCI)<sup>1</sup>. It is reported that up to 85% of adults with SCI will develop a pressure ulcer at some  
31 point during their lifetimes<sup>1-5</sup>, and 7-8% of those who develop pressure ulcers will die from  
32 related complications.<sup>6</sup>

33 According to National/ European Pressure Ulcer Advisory Panel guideline, pressure ulcer has  
34 been newly named as pressure injury, which is described as an area of localised injury to the

35 skin as a result of prolonged pressure alone, or pressure in combination with shearing forces.<sup>7</sup>  
36 It is typically categorised into four key stages depending on severity. The higher the grade is,  
37 the more severe the injury to the skin and underlying tissue will be. In stage one, the skin is  
38 not broken but is red or discoloured; the redness or change in colour does not fade within  
39 thirty minutes after pressure is removed. In stage two, the epidermis or topmost layer of the  
40 skin is broken, creating a shallow open sore and drainage may, or may not, be present. At  
41 stage three, the break in the skin extends through the dermis (second skin layer) into the  
42 subcutaneous and fat tissue and the wound is deeper than in stage two. In stage four, the  
43 breakdown extends into the muscle and can extend to the bone. At this stage, there is often a  
44 large amount of dead tissue and drainage.

45  
46 Following SCI, the interruption of spinal vasomotor pathways results in loss of vasomotor  
47 control over skeletal muscle and skin, which lowers the tone of vascular bed below the level  
48 of lesion. Impaired vascular patency causes vessels to be less able to withstand normal  
49 loading conditions. Concurrent with loss of capillary networks due to lost muscle bulk, the  
50 volume of blood in the tissues is reduced<sup>8-10</sup>. Previous clinical studies have shown that tissue  
51 blood volume/perfusion was lower and tissue reperfusion was impaired in people with SCI in  
52 comparison with able-bodied subjects.<sup>11-14</sup> For instance, Jan and colleagues measured sacral  
53 skin perfusion in 14 people with SCI and 14 healthy subjects during sitting<sup>11</sup>. They found skin  
54 perfusion declined more in people with SCI during constant sitting than able-bodied subjects.  
55 Furthermore, impaired vascular function in people with SCI has been reported by other  
56 studies.<sup>12,13</sup> Makhsous and colleagues<sup>12</sup> measured transcutaneous partial pressures of oxygen  
57 and carbon dioxide of the buttock overlying the ischial tuberosity in 20 paraplegic individuals,  
58 20 tetraplegic individuals, and 20 able-bodied subjects. They found that recovery time during  
59 offloading was significantly longer in both paraplegic and tetraplegic participants in  
60 comparison with able-bodied individuals. As a result, people living with SCI have a higher  
61 risk of developing pressure ulcers than able-bodied individuals

62  
63 Once a pressure ulcer is formed, it is very difficult to achieve a full repair or it takes a  
64 particularly long period of time to heal for severe cases. In addition, those who suffer a  
65 pressure ulcer may be subjected to longer hospital stays, delayed rehabilitation and a  
66 significant loss of independence, which adds another burden to the psychological trauma of  
67 SCI, as well as the reduced quality of life.<sup>15</sup> If a pressure ulcer is severe, it can lead to further  
68 disabilities, the need for surgical interventions and even fatal infections.<sup>2,15</sup> In addition to the

69 detrimental personal effect, a pressure ulcer also represents a significant cost burden for  
70 health and social care systems. Although the exact cost of pressure ulcer management in  
71 people living with SCI is unknown in the United Kingdom, the average cost to treat one stage  
72 4 pressure ulcer is £14,108 per episode in the general population<sup>16</sup>. Given the significant  
73 personal consequences and serious health care burden, effective prevention of pressure ulcer  
74 is undoubtedly important for people living with SCI.

75 Thus far, preventing pressure ulcer tends to focus on methods to reduce external pressure.  
76 These efforts range from using pressure-relieving devices, to patients performing ‘pressure  
77 relief’ manoeuvres themselves, such as frequent repositioning, ‘push-ups’ or ‘leaning forward  
78 <sup>17-20</sup>. However, these efforts are only partially effective at best in people living with SCI.  
79 Poor compliance from patients to carry out the frequent pressure relief activities together with  
80 intrinsic changes in the paralyzed individuals such as reduced vascular response to loading,  
81 reduced muscular tone and progressive loss of muscle bulk may contribute to the high  
82 incidence of pressure ulcer in this population<sup>21-22</sup>. Despite simple pressure relief methods  
83 providing benefits in reducing local pressure at bony prominences, such approaches were not  
84 aimed to prevent muscle atrophy or to improve muscular tone and tissue blood volume.  
85 Therefore, in conjunction to pressure relief strategies, alternative means of improving tissue  
86 health should be explored in this population for pressure ulcer prevention.

87 In fact, activating paralyzed gluteal muscles to modify tissue blood circulation by using  
88 surface functional electrical stimulation (FES) has been explored in SCI for 30 years.<sup>23-25</sup> For  
89 instance, back in the 1990s, Levine and colleagues<sup>19</sup> examined ischial blood flow in six  
90 people with acute SCI during electrical stimulation of gluteus maximus. They found that skin  
91 blood flow increased during stimulation for all participants. Similarly, Gyawali and  
92 colleagues<sup>24</sup> measured loaded gluteal tissue oxygenation during 7s or 13s of continuous  
93 electrical stimulation and 3s burst electrical stimulation of gluteus maximus using surface  
94 electrode in 17 patients with SCI who had a mean age of 37 years. They reported that both  
95 continuous and burst electrical stimulation of gluteal muscles induced significant increases in  
96 tissue oxygenation assessed using T<sub>2</sub>\*-weighted magnetic resonance imaging techniques.  
97 However, the gluteus maximus has been difficult to stimulate by surface electrodes due to its  
98 greater mass covered by adipose tissue<sup>26</sup>. In addition, surface FES requires repeated  
99 application of large electrodes to the buttocks to stimulate the gluteal muscles, which can  
100 cause local dermatitis and excoriation. Importantly, muscles will eventually re-atrophy if  
101 stimulation is not continued<sup>26</sup>. Therefore, surface FES has significant limitations if used for  
102 sustained benefit. Interestingly, implanted muscular electrical stimulation of gluteal muscles

103 has been shown to benefit seat pressure and tissue oxygenation in people living with SCI<sup>26,27</sup>.  
104 For instance, Wu and colleagues measured transcutaneous oxygen tension bilaterally over the  
105 ischia in seven patients living with SCI who had intramuscular electrodes implanted for  
106 combined trunk and gluteal muscles. Trunk and gluteal stimulation was applied concurrently  
107 at 20-Hz frequency and 20-mA pulse amplitude for 5 minutes in their study. They reported  
108 that mean ischial transcutaneous oxygen tension increased during neuromuscular electrical  
109 stimulation and remained elevated after the intervention.

110 Alternatively, sacral nerve roots stimulation has been reported to activate gluteal maximus in  
111 the able bodied and people with SCI.<sup>28,29</sup> Sacral anterior root stimulator (SARS) implant is a  
112 well-established device for individuals with SCI to empty their bladder and bowel, where the  
113 electrodes are usually implanted intra- or extra-durally on bilateral S2, S3 or S4 sacral nerve  
114 roots. This implant has proven to be very cost effective and results in significant improvement  
115 in limiting urinary tract infections and increasing quality of life in people with SCI. Yet, such  
116 implant hasn't been clinically applied for pressure ulcer prevention. Indeed, our previous  
117 studies have demonstrated that sacral nerve roots stimulation can induce sufficient gluteal  
118 muscle contraction to reduce interface pressure and increase blood perfusion under the ischial  
119 tuberosity.<sup>28,29</sup> For instance, FMS was first explored in able-bodied participants for pressure  
120 changes under the ischial tuberosity<sup>28</sup>. The primary objective of that study was to demonstrate  
121 the utility of FMS as an assessment tool, and map the optimal FMS stimulation parameters  
122 and the positioning of stimulating coil to be able to activate the S2 nerve root. Secondly in  
123 order to test the feasibility and viability of stimulating the S2 nerve root using a well-  
124 established implant for activating gluteal muscles, we stimulated the S2 nerve root alone in  
125 those patients who have a SARS implant for their daily bladder/bowel management. The  
126 results showed that S2 nerve root stimulation, either by FMS or using SARS implant, induced  
127 gluteus maximus contraction sufficient for significant reductions in ischial pressures during  
128 sitting in five able-bodied and six individuals with SCI who had a SARS implant respectively.

129  
130 Later, the FMS was further investigated in five patients with SCI for pressure changes under  
131 the ischial tuberosity<sup>29</sup>. In addition to ischial pressure measurement, skin blood perfusion  
132 changes were also simultaneously measured during the S2 nerve root stimulation in five  
133 patients during FMS and six patients with a SARS implant. Our results demonstrated that  
134 ischial pressures significantly decreased and cutaneous haemoglobin and oxygenation  
135 significantly increased during sacral nerve root stimulation via FMS or a SARS implant in all  
136 11 participants.

137

138 To compare the effect of S2 nerve root stimulation with traditional FES using surface  
139 electrodes, we then reported another study<sup>30</sup>, in which the magnitude of pressure changes  
140 during S2 nerve root stimulation was compared with the pressure changes during traditional  
141 FES delivered by surface electrodes. Six patients with complete SCI were studied in each  
142 group. Interestingly, the results indicated that the magnitude of ischial pressure decrease was  
143 significantly greater during S2 nerve root stimulation via FMS or SARS implant than that  
144 obtained in participants who applied traditional FES.

145

146 However, even S2 nerve root stimulation produce better benefits in reducing ischial pressure  
147 than traditional FES using surface electrodes. Skin blood perfusion has been suggested as a  
148 fundamental element for practical benefit in terms of pressure ulcer prevention. There was a  
149 consensus that the prolonged pressure loading sufficient to produce ischemia, cell  
150 deformation and reperfusion injury was identified as an important process of pressure ulcer  
151 formation<sup>31,32</sup>. Moreover, previous studies indicated that interface pressure alone does not  
152 provide complete information about the effectiveness of pressure relief<sup>12</sup>. So far, there are no  
153 published papers that directly compare the skin blood perfusion by sacral nerve root  
154 stimulation to traditional surface FES of gluteal muscles itself.

155

156 Therefore, the objective of this study was to compare the magnitude of skin blood perfusion  
157 during gluteal maximus contraction through the stimulation of sacral nerve roots with the skin  
158 blood perfusion changes achieved using traditional surface FES in patients with SCI.

159

## 160 **METHODS**

161 The project was approved by the National Health Service (NHS) research ethics committee,  
162 XXXX Hospital NHS Trust. All participants gave their informed consent.

### 163 **Study design**

164 Three individual studies (FMS, SARS implant and surface FES) were conducted separately  
165 during a 12-month period. Each participant was invited to attend the research lab for 1.5-2  
166 hours. Before the experiment, all participants were asked to empty their bladder and bowel.

### 167 **Participants**

168 Subjects who had suprasacral complete SCI were aged between 18-65 years old and were  
169 recruited in FMS and surface ES studies. All six participants who completed the FMS study  
170 were invited for surface FES study, four of them accepted the invitation. Individuals with an

171 electrode implanted on S2 nerve root in their SARS implant for bladder and/or bowel  
172 management were recruited for SARS implant study.

173 Individuals who were pregnant or using a cardiac pacemaker were excluded for the FMS  
174 study; any subject with a current pressure ulcer over the gluteal region or a history of severe  
175 autonomic dysreflexia was excluded.

### 176 **Sacral nerve roots stimulation**

#### 177 *FMS study:*

178 FMS was delivered using a magnetic stimulator (MagPro, Dantec Medical A/S, and Denmark)  
179 with a large circular coil (120mm diameter, producing maximum field strength of 2 Tesla)  
180 placed over the sacrum area. To obtain a smooth tetanic fused contraction of the gluteal  
181 muscles, stimulation frequencies in the available range of 15-25pps for two seconds were  
182 utilized. Stimulation intensities were adjusted individually by starting from the lowest level  
183 from 30% in steps of 5% (stimulation strength is indicated as percentage of the maximum  
184 output) to the highest level of patients' tolerance. The maximum level of intensity used was  
185 80%. To activate bilateral gluteus muscles, the coil position was placed at the sacrum midline,  
186 6cm below iliac crest for participants without sclerosis.

#### 187 *A Finetech-Brindley SARS implant:*

188 Electrical stimulation was applied bilaterally through a Finetech-Brindley SARS implant  
189 (Finetech Medical Ltd, UK). A stimulation program was manually set up from an external  
190 control box. To avoid bladder/bowel activation, S3 & S4 stimulators were switched off. Only  
191 the S2 nerve root was stimulated. In order to obtain a smooth tetanic contraction, stimulation  
192 frequency of 20pps and duration of stimulation of 8-second were utilized. All patients were  
193 given lowest amplitude '1' (highest amplitude was '3') to avoid activating deeper muscles or  
194 organs such as bladder and bowel. The stimulation pulse width was adjusted individually by  
195 starting from the lowest pulse width of 8 $\mu$ s to the highest level of patients' tolerance; the  
196 maximum pulse width used was 700  $\mu$ s.

### 197 **Surface FES:**

198 Electrical stimulation was provided through large surface electrodes (PALS/Platinum, Model  
199 895240, Nidd Valley Medical Ltd, UK) using Stock Microstim2, a dual-channel  
200 neuromuscular stimulator. The specifications of the Microstim2 (v2) are: 1) stimulation  
201 frequencies are 20Hz and 40 Hz; 2) maximum pulse width is 330 $\mu$ s; 3) maximum output  
202 amplitude is 100mA; 4) the stimulation waveform is square with passive charge balancing. In  
203 order to be comparable with SARS, the stimulation frequency and duration of stimulation  
204 were set at 20 Hz and 8 seconds respectively. As per the stimulation amplitude, all

205 participants started from the lowest level of '1' to highest level of patients' tolerance, the  
206 maximum level of amplitude was level '9'.

### 207 **Ischial skin Haemoglobin and Oxygenation**

208 Tissue Reflectance Spectrometry (TRS) (MCS521 spectrometer, Carl Zeiss, Germany) in the  
209 visible spectrum was used to measure skin haemoglobin and oxygenation under ischial  
210 tuberosity. The TRS uses the characteristic absorption of light by the constituents of skin to  
211 measure the various constituents present. The theory of tissue reflectance spectrometry is  
212 based on a simple anatomical model<sup>33</sup>. A thin flexible optical probe was designed, which does  
213 not cause loading artefact during sitting. This probe incorporated two plastic optical fibres (1  
214 mm diameter with 1 mm spacing) that were bonded in a Shore D60 flat flexible polyurethane  
215 sheath (Flexane 60L, Devcon Ltd, Ireland) for a transmission of incident and reflected light  
216 from the skin surface to the tissue reflectance spectrometry. The theoretical skin penetration  
217 depth was 500  $\mu\text{m}$ .

218 Before each experiment, the TRS was always allowed to equilibrate for 30 minutes. The  
219 flexible thin flat optical probe was placed in the dark, then being placed onto a standard white  
220 surface to determine the reference light intensity. The sample rate for data acquisition of a  
221 full-spectrum was 2Hz with an integration time of 500ms and a cycle time of 0.5s. The  
222 absorption values for each wavelength increment of 1nm between 450 and 650nm were stored  
223 on a PC for offline processing. After data acquisition, the data were converted to ASCII text  
224 and exported to Microsoft Excel 2007. The indices of skin haemoglobin (IHB) and  
225 oxygenation (IOX) were calculated using modified version of a method by Feather *et al*<sup>29,33</sup>.  
226 No melanin compensation was used. However, all participants were Caucasian with very little  
227 melanin over the skin covering the ischial tuberosity. Skin haemoglobin and oxygenation data  
228 were analysed by comparing IHB and IOX before and during stimulation when participants  
229 were sitting in the chair. During sitting, IHB would be close to 0. In order to prevent negative  
230 IOX, all IHB values were offset by a value of '1'. This was to make interpretation of IOX  
231 easier.

### 232 **Experiment setting:**

#### 233 *FMS and SARS studies:*

234 Prior to the experiment, participants were asked to rest 5-10 minutes and were given an  
235 introduction regarding the experiment. Following this, each participant was carefully  
236 transferred to a standard wheelchair with a standard foam cushion (high resilience foam,  
237 density 45kg/m<sup>3</sup>) and fitted arm and footrest. All participants had stabilized in a standard  
238 sitting position defined as: 1) back rest-to-seat angle of at least 80 degrees; 2) footrest

239 adjusted to keep the thighs parallel to the seat. The probe was then placed on the skin under  
240 the left/right ischial tuberosity with double-sided adhesive tape. The left or right ischial  
241 tuberosity was randomly selected. Spectral response of haemoglobins was continually  
242 monitored before and during maximal tolerated stimulation.

#### 243 *Surface FES study:*

244 After they had entered the research lab and received an introduction to the experiment, each  
245 participant was helped to lie down on a standard hospital bed in a prone position. Two large  
246 rectangle electrodes (5cm×9cm) were placed onto each side of the gluteus maximus. The  
247 stimulating anodes were then placed bilaterally just below the posterior superior iliac crest.  
248 The participants were then carefully transferred to the study wheelchair. The skin probe  
249 placement and blood perfusion measurement was same as FMS and SARS studies.

#### 250 **Statistical analysis**

251 Descriptive statistics were calculated using Excel 2007 and SPSS (IBM SPSS Statistics 19).  
252 All data were examined for normality using a Kolmogorov-Smirnov test. For comparison  
253 between before and during stimulation within same subjects, or comparison between FMS  
254 and surface FES within same subjects, paired sample t-test was used. Due to the small sample  
255 size of each study, non-parametric tests were also used to confirm the results from parametric  
256 tests where appropriate. Wilcoxon Signed-rank test was applied for comparison between  
257 before and during stimulation within same subjects. P-values were two-tailed and differences  
258 were considered to be statistically significant for P-value less than 0.05. In addition to p value,  
259 Cohen's d value was further reported to provide an estimate of the magnitude of differences  
260 associated with t-tests. Cohen's effect size *d* value of 0.2 or less represents a small effect or  
261 low practical significance, around 0.5 an intermediate effect and 0.8 or greater represents a  
262 large effect or high practical significance.

263

#### 264 **RESULTS**

265 All participants who completed the studies tolerated stimulation well and no adverse events  
266 were reported. The skin areas where the electrodes and skin probe were placed were then  
267 inspected after each experiment. Baseline characteristics of all fourteen subjects are  
268 summarized in Table 1.

#### 269 **FMS study**

270 Table 2 illustrates the FMS parameters in all 6 participants who completed FMS study.  
271 During optimal FMS, IHB and IOX increased in all 6 participants. As a group, IHB and IOX  
272 during stimulation were significantly higher than the baseline.

273 **SARS study**

274 Optimal stimulation of S2 nerve root at frequency of 20 pps and amplitude of '1' was utilised  
275 in the 6 individual participants. The pulse width varies among individual subjects ranging  
276 from 64 to 600 $\mu$ s. As a whole group, the average pulse width was 256 $\mu$ s. Table 3  
277 demonstrated optimal stimulation parameters in 6 participants with a SARS Implant.  
278 For the whole group of six participants, IHB and IOX were significantly higher during  
279 stimulation than baseline. Figure 1 demonstrates the value of IHB and IOX before and during  
280 SARS in six participants with a SARS implant.

281 **Surface FES study**

282 Out of six participants, five of them tolerated the highest level of amplitude of '9' and one  
283 participant tolerated '7'. Table 4 demonstrates optimal FES parameters in six participants  
284 who had surface gluteal FES. During maximum tolerated stimulation, there was an increase  
285 of skin blood perfusion under the ischial tuberosity in all six participants. However, the  
286 increase was not statistically significant. Details of skin blood perfusion in the three studies  
287 are summarised in table 5.

288 **Comparison of blood perfusion during sacral nerve root stimulation and surface ES**

289 For those four participants who received both FMS and surface FES, the magnitude of  
290 increase in both IHB and IOX was significantly higher during FMS than surface FES (IHB  
291 mean difference=0.175 $\pm$ 0.031, p=0.04, paired t-test; p=0.04, nonparametric Wilcoxon  
292 Signed-Rank test; IOX mean difference=0.133 $\pm$ 0.265, p=0.03, paired t-test; p=0.04,  
293 nonparametric Wilcoxon Signed-Rank test).

294

295 **DISCUSSION**

296 The primary objective of this study was to compare dynamic effects of ischial blood perfusion  
297 changes during sacral nerve root stimulations and gluteal muscle stimulation using traditional  
298 electrodes. In addition, this study investigated the feasibility of the customized flexible probe  
299 for real-time measuring of blood perfusion during sitting in those individuals living with  
300 SCI. The results from the study demonstrate that S2 nerve root stimulation through a SARS  
301 implant can induce gluteus muscle contractions sufficient to achieve a significant increase in  
302 skin blood perfusion during sitting. By using traditional surface electrodes to activate gluteal  
303 muscles, there was no significant change in blood perfusion during surface FES.

304 Indeed, the inconsistency of findings in blood flow during stimulating gluteal muscles using  
305 surface electrodes has been previously reported in SCI.<sup>24,25,20</sup>. While some of those studies  
306 reported a significant increase in regional tissue oxygenation or blood flow during the

307 stimulation, other studies reported an insignificant increase of tissue oxygenation. For  
308 instance, Smit and colleagues<sup>25</sup> applied electrical stimulation to gluteal and hamstring  
309 muscles through surface electrodes and measured tissue blood flow and oxygenation in 12  
310 male patients with SCI aged 26–52 years old using a commercial instrument (Oxygen To See  
311 device) with a rigid probe. The device adopted a combination of reflection spectroscopy and  
312 laser Doppler technique. They reported that there were no significant changes of mean blood  
313 flow and oxygenation during electrical stimulation as compared with the rest, although there  
314 was a significant difference in peak blood flow during electrical stimulation as compared with  
315 the rest. Conversely, Levine and colleagues examined ischial blood flow in six acute patients  
316 with SCI during electrical stimulation of gluteus maximus<sup>23</sup>. They found that skin blood flow  
317 increased during stimulation for all participants.

318 While the exact mechanism of improving local tissue oxygenation and blood flow during the  
319 ES remains unclear, increased blood perfusion may result from muscle contraction allowing  
320 higher oxygen delivery rates and metabolite removal, or neuronal excitation may contribute to  
321 the increase of blood perfusion. Alternatively, a dynamic ‘pressure relief’ caused by gluteus  
322 muscle contractions and/or pelvic tilt, which dilates the micro-vessels underlying the ischial  
323 skin, may be partly attributable. While previous studies investigated the interface pressure and  
324 tissue oxygenation or blood flow simultaneously during gluteal electrical stimulation<sup>e24-27</sup>,  
325 these studies, in general, had a small sample size without control groups. None of those  
326 studies proved the hypothesis that electrical stimulation induced muscle activation would  
327 directly increase blood flow and oxygenation. Increasing sample size and recording more  
328 subjects’ characteristic factors in the future studies may help understand the findings of this  
329 study.

330 In theory, all muscles consist of a number of motor units and the fibres belonging to a motor  
331 unit are dispersed and interlink amongst fibres of other units. A motor unit normally consists  
332 of one motor neuron and all of the muscle fibres it stimulates. The muscle fibres belonging to  
333 one motor unit can be spread throughout a part, or most of the entire muscle, depending on  
334 the number of fibres and size of the muscle. When a motor neuron is activated, all of the  
335 muscle fibres innervated by the motor neuron are stimulated and contracted. The activation of  
336 single motor neuron results in a weak distributed muscle contraction (twitch contraction). In  
337 contrast, the activation of more motor neurons will result in more muscle fibres being  
338 activated, and therefore a stronger muscle contraction (tetanic contraction) was produced.  
339 The higher the recruitment of motor unit, the stronger the muscle contraction will be. The  
340 activation of more motor neurons will result in more muscle fibers being activated, and

341 therefore a stronger muscle contraction<sup>34</sup>. In comparison, between sacral nerve root  
342 stimulation versus traditional surface FES of gluteal muscles, the larger numbers of motor  
343 neurones recruitment in sacral nerve roots stimulation may produce stronger contraction than  
344 surface FES. Therefore it can activate gluteus muscles more efficiently. Sacral nerve root  
345 stimulation can efficiently activate all motor neurons that innervate gluteal maximus, whereas  
346 surface FES of gluteus maximus maybe limited by the size of electrodes and the depth of  
347 electrical signal to reach the muscle motor points.

348 It is worth noting that although the index of haemoglobin and oxygenation was increased  
349 during the S2 nerve root stimulations in this study, it is difficult to compare the magnitude of  
350 changes with other studies in the literature. A variety of stimulation parameters used  
351 alongside different modalities employed blood perfusion measurement among each study was  
352 identified. In terms of blood perfusion measurement techniques, previous studies that  
353 investigated acute effect of electrical stimulation on blood circulation utilized various  
354 modalities, which include laser Doppler flowmetry, transcutaneous oximeters and near-  
355 infrared spectroscopy<sup>24-27,35</sup>. So far, regardless of the modalities adopted, the dermal probes  
356 were rigid, which can potentially increase local pressure during sitting, or have movement  
357 artefact. In the present study, tissue reflectance spectrometry was utilised, which is an optical  
358 technique and offers the distinct advantages of being non-invasive with no artefact of  
359 movement and real-time recording. More importantly, a customised thin flexible dermal  
360 probe was applied for the real-time blood perfusion measurement during sitting. The inter-  
361 fiber cross talk was tested and coupling was not found. A flexible dermal probe such as this  
362 has potential for future monitoring studies during sitting, and examining key factors in  
363 pressure ulcer development.

364 The long-term goal of such research is to reverse gluteus muscle atrophy, build up muscle  
365 bulk and improve tissue viability by stimulating gluteus maximus through a SARS implant in  
366 people with supra-sacral spinal lesions. Traditional surface FES is a well-established  
367 technique to activate paralysed muscles including gluteal maximus in SCI. Yet it is not  
368 particularly practical or efficient in the long term or for sustained effect in SCI. It would be  
369 better to deliver gluteal electrical stimulation through implanted electrodes, and better still if  
370 this could be achieved using a durable SARS stimulator such as Fintech SARS. The results  
371 from current study indicate that sacral nerve root stimulation via implanted electrodes can  
372 induce sufficient gluteus maximus contraction to significantly increase cutaneous  
373 haemoglobin and oxygenation during sitting. Compare to our previous study<sup>30</sup>, which we  
374 reported sacral nerve root stimulation confer better modulation of sitting pressure than

375 traditional surface FES, the conclusions from this study are that stimulation via an implanted  
376 SARS may be useful for gluteus muscle bulking and improving vascularisation for preventing  
377 ischial pressure injuries. In addition to restoring bladder control with a SARS implant,  
378 implanted S2 nerve-root electrodes may also provide frequent, convenient, and sufficient  
379 stimulation of gluteus muscles and has the potential to improve tissue health in SCI population.

### 380 **Study limitations**

381 One of the limitations of our study was the small sample size along with the pilot study  
382 design. Unmatched age, body mass index, gluteal mass and level and duration of injuries  
383 were not addressed. However, four participants who completed FMS were recruited and  
384 agreed to participate FES studies, which allowed us to perform a paired sample t test and  
385 Wilcoxon signed-rank nonparametric test in the four subjects.

386 Another limitation was the use of a single skin probe to measure blood perfusion in the study.  
387 While non-invasive tissue reflectance spectrometry incorporated with customised probe  
388 provides real-time data, using only one skin probe with a limited skin area restricted us to  
389 compare blood perfusion changes on both sides within each subject. Developing a dual probe  
390 to measure skin blood perfusion bilaterally with a high sampling frequency, deep penetration  
391 and multiple skin area measurements should be considered in future studies.

392 Finally, the stimulation was only applied in a single burst to investigate the dynamic effect of  
393 sacral nerve stimulations on gluteus maximus. Due to the limitations of FMS over-heating  
394 and being ill-defined, it is impossible to apply more cycles of stimulation in the protocol  
395 presented in this study. Nevertheless, our study provides the basis of designing future  
396 rigorous studies by investigating more cycles of stimulation over longer periods, and  
397 modifying electrical stimulation parameters such as frequency, pulse width and durations,  
398 alongside using the customised thin, flexible skin probe for real-time blood perfusion  
399 measurement.

### 400 **CONCLUSION**

401 Gluteal muscle activity via S2 nerve root can induce sufficient gluteus maximus contraction  
402 in SCI to promote blood flow. Skin blood perfusion was significantly increased during sacral  
403 nerve root stimulation, but the change was not significant during traditional FES using surface  
404 electrodes. SARS implant may be more convenient and more efficient in activating gluteal  
405 muscles compared to traditional surface FES. This study confirmed that the S2 stimulation  
406 through an implant is viable and has potential for gluteal pressure ulcer prevention in SCI.  
407 However, in order to justify adding S2 stimulating electrodes in those patients who have

408 opted for an implantable SARS for their bladder and bowel management, future well designed,  
409 large sample studies are warranted to confirm current findings.

410

## 411 **REFERENCE**

412 1. National Spinal Cord Injury Statistical Centre (NSCISC). Annual Report for the Model  
413 Spinal Cord Injury Care Systems. Birmingham, AL: NSCISC; 2005. Model Spinal Cord  
414 Injury Care Systems.

415 2. Haisma JA, Van der Woude LH, Stam HJ, Bergen MP, Sluis TA, Post MW.  
416 Complications following spinal cord injury: occurrence and risk factors in a longitudinal  
417 study during and after inpatient rehabilitation. *J Rehabil Med* .2007; 39: 393–398.

418 3. Chen Y, Devivo MJ, Jackson AB Pressure ulcer prevalence in people with spinal cord  
419 injury: age-period-duration effects. *Arch Phys Med Rehabil*. 2005.86: 1208-1213.

420 4. Ash D. An exploration of the occurrence of pressure ulcers in a British spinal injuries  
421 unit. *J Clin Nurs*. 2002; 11: 470-478.

422 5. Tam EW, Mak AF, Lam WN, Evans JH, Chow YY. Pelvic movement and interface  
423 pressure distribution during manual wheelchair propulsion. *Arch Phys Med Rehabil*  
424 2003;84(10):1466–72.

425 6. Cardenas DD, Hoffman JM, Kirshblum S, McKinley W. Etiology and incidence of  
426 rehospitalization after traumatic spinal cord injury: a multicenter analysis. *Arch Phys Med*  
427 *Rehabil* 2004;85: 1757-1763.

428 7. National PU Advisory Panel and the European PU Advisory Panel (NPUAP/EPUAP).  
429 Prevention and Treatment of PUs: Clinical Practice Guideline. NPUAP: Washington DC,  
430 2009, p 169.

431 8. Schubert V. The influence of local heating on skin microcirculation in pressure ulcers,  
432 monitored by a combined laser Doppler and transcutaneous oxygen tension probe. *Clin*  
433 *Physiol* . 2000;20: 413-421.

434 9. Jan YK, Brienza D. Technology for Pressure Ulcer Prevention. *Topics in Spinal Cord*  
435 *Injury Rehabilitation*. 2006; 11: 30-41.

436 10. Bogie KM, Triolo RJ. Effects of regular use of neuromuscular electrical stimulation on  
437 tissue health. *J Rehabil Res Dev*. 2003;40: 469-475.

438 11. Jan YK, Brienza DM, Boninger ML, Brenes G. Comparison of skin perfusion response  
439 with alternating and constant pressures in people with spinal cord injury. *Spinal Cord*.  
440 2011;49(1):136-41.

- 441 12. Makhsous M, Priebe M, Bankard J, et al. Measuring Tissue Perfusion During Pressure  
442 Relief Maneuvers: Insights Into Preventing Pressure Ulcers. *The Journal of Spinal Cord*  
443 *Medicine*. 2007;30(5):497-507.
- 444 13. Thorfinn J1, Sjöberg F, Sjöstrand L, Lidman D. Perfusion of the skin of the buttocks in  
445 paraplegic and tetraplegic patients, and in healthy subjects after a short and long load. *Scand*  
446 *J Plast Reconstr Surg Hand Surg*. 2006;40(3):153-60.
- 447 14. Thorfinn J1, Sjöberg F, Lidman D. Sitting pressure and perfusion of buttock skin in  
448 paraplegic and tetraplegic patients, and in healthy subjects: a comparative study. *Scand J Plast*  
449 *Reconstr Surg Hand Surg*. 2002;36(5):279-83.
- 450 15. Boakye M, Leigh BC, Skelly AC. "Quality of life in persons with spinal cord injury:  
451 comparisons with other populations." *Journal of Neurosurgery*.2012: *Spine* 17(1 Suppl):29-37.
- 452 16. Dealey C, Posnett J, Walker A. The cost of PUs in the United Kingdom. *J Wound Care*.  
453 2012; 21(6):261-2, 264, 266.
- 454 17. Makhsous M, Rowles DM, Rymer WZ, Bankard J, Nam EK, Chen D, et al. Periodically  
455 relieving ischial sitting load to decrease the risk of PUs. *Arch Phys Med Rehabil*  
456 2007;88(7):862–70.
- 457 18. Bogie KM, Nuseibeh I, Bader DL. Early progressive changes in tissue viability in the  
458 seated sPressure ulcer spinal cord injured subject. *Paraplegia* 1995;33(3):141–7.
- 459 19. Ferguson-Pell MW, Wilkie IC, Reswick JB, Barbenel JC. Pressure sore prevention for  
460 the wheelchair-bound spinal injury patient. *Paraplegia* 1980;18(1):42–51.
- 461 20. Liu LQ, Moody J, Traynor M, Dyson S, Gall A. A systematic review of electrical  
462 stimulation for pressure injury prevention and treatment in people with spinal cord injuries.  
463 *The Journal of Spinal Cord Medicine*.2014; 37(6): 703-718.
- 464 21. Marin J, Nixon J, Gorecki C. A systematic review of risk factors for the development and  
465 recurrence of pressure injurys in people with spinal cord injuries. *Spinal Cord*  
466 2013;51(7):522–7.
- 467 22. Salzberg CA1, Byrne DW, Cayten CG, Kabir R, van Niewerburgh P, Viehbeck M, Long H,  
468 Jones EC. Predicting and preventing pressure ulcers in adults with paralysis. *Adv Wound Care*  
469 1998;11:237–46.
- 470 23. Levine SP, Kett RL, Gross MD, Wilson BA, Cederna PS, Juni JE. Blood flow in the  
471 gluteus maximus of seated individuals during electrical muscle stimulation. *Arch Phys Med*  
472 *Rehabil*. 1990;71(9):682–6.
- 473 24. Gyawali S, Solis L, Chong SL, Curtis C, Seres P, Kornelsen I, et al. Intermittent  
474 electrical stimulation redistributes pressure and promotes tissue oxygenation in loaded

475 muscles of individuals with individuals with spinal cord injury. *J Appl Physiol.* 2011;  
476 110(1):246–55.

477 25. Smit CAJ, Zwinkels M, van Dijk T, de Groot S, Stolwijk-Swuste JM, Janssen TWJ.  
478 Gluteal blood flow and oxygenation during electrical stimulation-induced muscle activation  
479 versus pressure relief movements in wheelchair users with a spinal cord injury. *Spinal Cord.*  
480 2013;51(9):694–9.

481 26. Bogie KM, Wang X, Triolo RJ. Long-term prevention of pressure ulcers in high-risk  
482 patients: a single case study of the use of gluteal neuromuscular electric stimulation. *Arch*  
483 *Phys Med Rehabil.* 2006; 87(4):585–91 23.

484 27. Wu GA, Lombardo L, Triolo RJ, Bogie KM. The effects of combined trunk and gluteal  
485 neuromuscular electrical stimulation on posture and tissue health in spinal cord injury. *PM R.*  
486 2013;5(8):688–96.

487 28. Liu LQ, Nicholson GP, Knight SL, Chelvarajah R, Gall A, Middleton FRI, et al. Pressure  
488 changes under the ischial tuberosities of seated individuals during sacral nerve root  
489 stimulation. *J Rehabil Res Dev.* 2006;43(2):209–18.

490 29. Liu LQ, Nicholson GP, Knight SL, Chelvarajah R, Gall A, Middleton FRI, et al.  
491 Interface pressure and cutaneous haemoglobin and oxygenation changes under ischial  
492 tuberosities during sacral nerve root stimulation in spinal cord injury. *J Rehabil Res Dev.*  
493 2006;43(4):553–64.

494 30. Liu LQ, Ferguson-Pell M. Pressure Changes under the Ischial Tuberosities during Gluteal  
495 Neuromuscular Stimulation in Spinal Cord Injury: a Comparison of Sacral Nerve Root  
496 Stimulation with Surface Functional Electrical Stimulation. *Archives of Physical Medicine*  
497 *and Rehabilitation.* 2015 ; 96(4):620-6.

498 31. Consortium for spinal cord medicine. Pressure ulcer prevention and treatment following  
499 spinal cord injury: a clinical practice guideline for health-care professionals, 2nd edn.  
500 Washington, D.C: Paralyzed Veterans of America, 2014.

501 32. Bouten C, Oomens C, Baaijen F. & Bader D. The Etiology of Pressure Ulcers: Skin Deep  
502 or Muscle Bound? *Archives of Physical Medicine and Rehabilitation.* 2003;84:616-9.

503 33. Feather JW, Hajizadeh-Saffar M, Leslie G, Dawson JB. A portable scanning reflectance  
504 spectrophotometer using visible wavelengths for the rapid measurement of skin pigments.  
505 *Phys Med Biol.* 1989;34(7):807–20.

506 34. Guyton, A.C. and Hall, J.E. *Textbook of Medical Physiology* 2006. 11<sup>th</sup> edition. Page 85.  
507 health Science, Asia, Elsevier Science'. ISBN: 9780721602400.

508 35. Liu LQ, Deegan R and Gall A, Non-invasive technologies of tissue viability measurement  
509 for pressure injury prevention in spinal cord injury. HSOA Journal of Physical Medicine,  
510 Rehabilitation & Disabilities, 2015; 1 (1): 002.

**Table 1 Demographic characteristic of all participants in three studies**

<b>Variables</b>	<b>FMS (n=6)*</b>	<b>SARS (n=6)</b>	<b>Surface ES (n=6)*</b>
<b>Age</b> (mean ± SD)	40.33±9.69	44.50±10.07	41.50±4.97
<b>Gender (F/M)</b>	1/5	1/5	1/5
<b>BMI</b> (mean ± SD)	23.78±2.64	24.77±6.06	25.65±5.09
<b>Level of injury</b>	C5/6-T10/11	T3 –T10/11	T4/5-T10/11
<b>Years of injury</b> (mean ± SD)	8.17±6.11	14.33±6.47	8.33±5.05

FMS=Functional magnetic stimulation; SARS=Sacral anterior root simulator; ES=Electrical stimulation

\*Four participants completed both FMS and Surface ES study

**Table 2 Optimal stimulation parameters in 6 participants who had functional magnetic stimulation**

<b>Participant</b>	<b>Duration</b>	<b>Optimal Frequency (Hz)</b>	<b>maximal tolerated Intensity (%)</b>	<b>Vertical Optimal coil location (distance to iliac crest)</b>	<b>Optimal coil location for bilateral response</b>
1	2	25	60%	60mm	midline
2	2	20	50%	60mm	20mm to right
3	2	20	60%	60mm	midline
4	2	20	65%	60mm	midline
5	2	20	80%	60mm	20mm to left
6	2	20	60%	60mm	midline

**Table 3 Optimal stimulation parameters in six participants who used a SARS Implant.**

Patients	Duration	Frequency(Hz)	Amplitude	Optimal Pulse Width
1	8s	20	1	256 $\mu$ sec
2	8s	20	1	128 $\mu$ sec
3	8s	20	1	600 $\mu$ sec
4	8s	20	1	256 $\mu$ sec
5	8s	20	1	128 $\mu$ sec
6	8s	20	1	512 $\mu$ sec

**Table 4 Optimal stimulation parameters in six participants who used surface electrodes**

Patients	Duration	Frequency(Hz)	Amplitude	Optimal Pulse Width
1	8s	20	8	330 $\mu$ sec
2	8s	20	7	330 $\mu$ sec
3	8s	20	8	330 $\mu$ sec
4	8s	20	9	330 $\mu$ sec
5	8s	20	9	330 $\mu$ sec
6	8s	20	8	330 $\mu$ sec

**Table 5 Skin blood perfusion before and during stimulations in the three studies**

<b>Variables</b>	<b>FMS (n=6)</b>	<b>SARS (n=6)</b>	<b>Surface ES (n=6)</b>
<b>Skin blood content</b>			
Baseline (mean ± SD)	1.05±0.21	1.01 ± 0.02	1.05 ± 0.01
Stimulation (mean ± SD)	1.08±0.02	1.07 ±0.02	1.06 ±0.01
Paired sample t-test			
<i>t value (degree of freedom)</i>	t(5)=2.9	t(5)=5.5	t(5)=2.3
<i>P value</i>	0.03	0.003	0.07
<i>Cohen's effect size (d)</i>	0.2*	6.0***	0.4*
<b>Skin blood oxygenation</b>			
Baseline (mean ± SD)	0.18 ± 0.21	0.79±0.81	0.56±0.39
Stimulation (mean ± SD)	0.46 ± 0.30	2.2±1.27	0.86±0.41
Paired sample t-test			
<i>t value (degree of freedom)</i>	t(5)=3.6	t(5)=3.0	t(5)=1.8
<i>P value</i>	0.01	0.03	0.12 (NS)
<i>Cohen's effect size (d)</i>	1.0***	3.4***	0.4*

P value<0.05;

\*\*\* Cohen's effect size value  $d > 0.8$  suggested a high practical significance;

\*\* Cohen's effect size value  $0.5 < d < 0.8$  suggested a medium practical significance;

\* Cohen's effect size value  $d < 0.5$  suggested a low practical significance

SD=Standard deviation

**Figure 1** The value of Index of haemoglobin and Oxygenation before and during electrical stimulation in six participants using a sacral anterior root implant

